

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Steven A. Wright

Filed: Concurrently

Invention Title: "SUBSTRATE FOR LIGHT-EMITTING DIODE (LED) MOUNTING INCLUDING HEAT DISSIPATION STRUCTURES, AND LIGHTING ASSEMBLY INCLUDING SAME"

Att'y Reference #: 0516-02

Express Mail #EL 984795191 US

Information Disclosure Statement

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

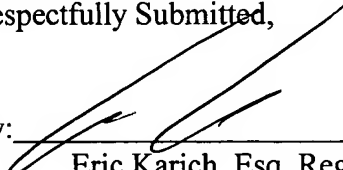
Sir:

In accordance with 37 C.F.R. 1.97, enclosed herewith are reference patents and, or other publications relating generally to the above-identified, concurrently submitted application. These references were located during the course of a normal preliminary patentability search. For the convenience of the Examiner, these references are listed on the attached form PTO-1449.

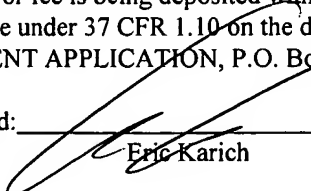
The references are cited for their illustration of various apparatus or methods similar in scope, object or construction to the present invention. None of these references, however, describe, teach or suggest the novel invention described and claimed in the present application. Accordingly, applicant's invention as recited in the claims of the present application is respectfully submitted to distinguish over the enclosed references.

It is respectfully requested that the enclosed references be considered in the examination of this application and that their consideration be made of written record in the application file.

Respectfully Submitted,

By:  Date: 3-22-04
Eric Karich, Esq. Reg. 41,503

EXPRESS MAIL Label # EL 984795191 US; Date of Deposit: 3-22-04. I hereby certify that this paper or fee is being deposited with the United States Postal Service using "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to "Commissioner for Patents, Mail Stop: PATENT APPLICATION, P.O. Box 1450 Alexandria, VA 22313-1450.

Signed:  Eric Karich

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF PRIOR ART CITED BY APPLICANT	ATTY. DOCKET NO. 0516-02	SERIAL NO.
	APPLICANT Steven A. Wright	
	FILING DATE March 22, 2004	GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	6,045,240	4-4-2000	Hochstein			10-20-1997
	AB	US 6,375,340 B1	4-23-2002	Biebl et al.			6-26-2000
	AC	US 6,392,888 B1	5-21-2002	Chen et al.			12-20-2000
	AD	US 6,428,189 B1	8-6-2002	Hochstein			10-10-2000
	AE	US 6,517,218 B2	2-11-2003	Hochstein			12-1-2000
	AF	US 2001/0030866 A1	10-18-2001	Hochstein			12-1-2000
	AG	US 6,590,773 B1	7-8-2003	Lin			8-28-2002
	AH	US 6,614,103 B1	9-2-2003	Durocher et al.			9-1-2000
	AI	US 6,573,536 B1	6-3-2003	Dry			5-29-2002
	AJ	US 6,411,046 B1	6-25-2002	Muthu			12-27-2000
	AK	US 6,299,337 B1	10-9-2001	Bachl et al.			3-6-2000
		US 6,250,774 B1	6-26-2001	Begemann et al.			1-23-1998

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES / NO	
	AL	2 342 440	9-3-2001	Canada				
	AM							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		
	AS		

EXAMINER	DATE CONSIDERED
<p><small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small></p>	

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	5,936,353	8-10-1999	Triner et al.			4-3-1996
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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